

# 4+1 Formalism for a Local Metric with Parameterized Evolution

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# Stueckelberg-Horwitz-Piron (SHP) Formalism

## Electrodynamics

Maxwell's equations

Manifest covariance

$$\partial_\nu F^{\mu\nu}(x) = eJ^\mu(x)$$

$$M\ddot{x}^\mu = eF^{\mu\nu}(x)\dot{x}_\nu$$

Pair creation/annihilation

$$E = p^0 = M\dot{x}^0 < 0$$

Covariant canonical dynamics

$$K = \frac{[p - eA(x)]^2}{2M} + V(x)$$

$\tau$ -dependent gauge field

$$A_\mu(x) \longrightarrow a_\mu(x, \tau)$$

$$V(x) \longrightarrow -ea_5(x, \tau)$$

## Relativity

Lorentz group

Tensor formulation

$$\dot{x}^\mu = dx^\mu / ds$$

$$ds^2 = -\eta_{\mu\nu} dx^\mu dx^\nu$$

External evolution parameter

$$\dot{x}^\mu = dx^\mu / d\tau$$

$$ds^2 = -\dot{x}^2(\tau) d\tau^2$$

Unconstrained phase space

$$\dot{x}^\mu = \frac{\partial K}{\partial p_\mu} \quad \dot{p}_\mu = -\frac{\partial K}{\partial x^\mu}$$

Evolving block universe

$$\mathcal{M} \rightarrow \mathcal{M}(\tau)$$

$$\frac{d}{d\tau} (\text{particle} + \text{field masses}) = 0$$

→  
Einstein

←  
Minkowski & Fock

→  
Stueckelberg

←  
Horwitz & Piron

→  
Horwitz, Sa'ad,  
Arshansky, Land

# Stueckelberg-Horwitz-Piron (SHP) Formalism

Covariant canonical mechanics with parameterized evolution

8D unconstrained phase space  $\implies \tau \neq$  proper time

$$x^\mu(\tau), \dot{x}^\mu(\tau) \quad \dot{x}^\mu = \frac{dx^\mu}{d\tau} \quad \lambda, \mu, \nu, \dots = 0, 1, 2, 3$$

Canonical electrodynamics with scalar Hamiltonian ( $K =$  total mass)

$$L = \frac{1}{2} M g_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu + e \dot{x}^\mu a_\mu(x, \tau) + e a_5(x, \tau) \quad 0 = \frac{d}{d\tau} \frac{\partial L}{\partial \dot{x}^\mu} - \frac{\partial L}{\partial x^\mu}$$

$$K = \frac{[p - ea(x, \tau)]^2}{2M} - ea_5(x, \tau) \quad \dot{x}^\mu = \frac{\partial K}{\partial p_\mu} \quad \dot{p}_\mu = -\frac{\partial K}{\partial x^\mu}$$

Extend SHP to include pseudo-5D metric describing  $\tau$ -evolution of spacetime

$$L = \frac{1}{2} M g_{\alpha\beta}(x, \tau) \dot{x}^\alpha \dot{x}^\beta \quad \alpha, \beta, \gamma, \delta = 0, 1, 2, 3, 5 \quad x^5 = c_5 \tau$$

# SHP — Geometry and Evolution

4D block universe  $\mathcal{M}(\tau)$  at each  $\tau$

Physical event  $x^\mu(\tau)$  in SHP

Irreversible occurrence **at** time  $\tau$

$$\tau_2 > \tau_1 \implies \left\{ \begin{array}{l} x^\mu(\tau_2) \text{ occurs } \mathbf{after} \ x^\mu(\tau_1) \\ x^\mu(\tau_2) \text{ } \mathbf{cannot change} \ x^\mu(\tau_1) \\ \text{No grandfather paradox} \end{array} \right.$$

Evolution

4D block universe  $\mathcal{M}(\tau)$  **occurs** at  $\tau$

Infinitesimally close 4D block universe  $\mathcal{M}(\tau + d\tau)$  occurs at  $\tau + d\tau$

$$\mathcal{M}(\tau) \xrightarrow{\text{Hamiltonian } K \text{ generates evolution in } \tau} \mathcal{M}(\tau + d\tau)$$

$$\left. \begin{array}{l} \text{scalar } K \\ \text{external } \tau \end{array} \right\} \implies \text{No conflict with general diffeomorphism invariance}$$

# Geometry and Trajectory

Standard approach to motion in general relativity

Two neighboring events in spacetime manifold  $\mathcal{M}$  (instantaneous displacement)

$$\text{Interval } \delta x^2 = g_{\mu\nu} \delta x^\mu \delta x^\nu = (x_2 - x_1)^2$$

Invariance of interval — geometrical statement about  $\mathcal{M}$

## Trajectory

Map arbitrary parameter  $\zeta$  to sequence of events  $x^\mu(\zeta)$

Timelike interval between any two events  $\Rightarrow$  take  $\zeta \rightarrow s$  (proper time)

## In 4D block universe $\mathcal{M}$

Trajectory — sequence of instantaneous timelike displacements

“Motion” appears as displacements in  $x^0(s)$

## Path length $\rightarrow$ Lagrangian

$$\delta x^2 = g_{\mu\nu} \delta x^\mu \delta x^\nu = g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu \delta s^2 \quad g = (-, +, +, +)$$

$$L_{\text{constrained}} = \sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} \quad L_{\text{unconstrained}} = \frac{1}{2} g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu$$

# Geometry and Evolution

Pseudo-5D metric

Two neighboring events:

$$x^\mu(\tau) \in \mathcal{M}(\tau) \qquad \bar{x}^\mu(\tau + \delta\tau) \in \mathcal{M}(\tau + \delta\tau)$$

Distance

$$dx^\mu = \bar{x}^\mu(\tau + \delta\tau) - x^\mu(\tau) \simeq \bar{x}^\mu(\tau) + \dot{\bar{x}}^\mu(\tau)\delta\tau - x^\mu(\tau) = \delta x^\mu + \dot{\bar{x}}^\mu \delta\tau$$

Squared interval (referred to  $x$  coordinates)

$$dx^2 = g_{\mu\nu} \delta x^\mu \delta x^\nu + g_{\mu\nu} \dot{\bar{x}}^\mu \delta x^\nu \delta\tau + g_{\mu\nu} \dot{\bar{x}}^\mu \dot{\bar{x}}^\nu \delta\tau^2 \simeq \underbrace{g_{\alpha\beta}(x, \tau) \delta x^\alpha \delta x^\beta}_{\alpha, \beta=0,1,2,3,5}$$

Contributions to interval

$$g_{\mu\nu} \delta x^\mu \delta x^\nu$$

Geometrical interval between two events at  $\tau$

Expresses symmetries of spacetime manifold  $\mathcal{M}$

$$g_{55} \delta x^5 \delta x^5$$

Dynamical interval between events in  $\mathcal{M}(\tau)$  and  $\mathcal{M}(\tau + \delta\tau)$

Expresses symmetries of evolution generated by Hamiltonian  $K$

# Example in space

Particle in 2D space — expanding disk with radius  $R(\tau) = \frac{1}{2}g\tau^2$

Points on expanding disk

$$\mathbf{q} = R(\tau) (\cos \theta, \sin \theta) \quad \bar{\mathbf{q}} = \bar{R}(\tau + \delta\tau) (\cos \bar{\theta}, \sin \bar{\theta})$$

Distance

$$d\mathbf{q} = \bar{\mathbf{q}} - \mathbf{q} \approx (\delta R + \delta_\tau R(\tau)) \hat{\mathbf{R}} + R\delta\theta \hat{\boldsymbol{\theta}}$$

$$\text{Geometrical distances: } \delta\theta = \bar{\theta} - \theta \quad \delta R = \bar{R}(\tau) - R(\tau)$$

$$\text{Dynamical distance: } \delta_\tau R(\tau) = R(\tau + \delta\tau) - R(\tau) = g\tau\delta\tau$$

Interval

$$d\mathbf{q}^2 = \delta R^2 + R^2\delta\theta^2 + g^2\tau^2\delta\tau^2 + 2g\tau\delta R\delta\tau = g_{ab}\delta\zeta^a\delta\zeta^b$$

Pseudo-3D metric

$$\delta\zeta = (\delta R, \delta\theta, \delta\tau) \quad g_{ab} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & R^2 & 0 \\ 1 & 0 & g\tau \end{pmatrix}$$

# Example in space

## Equations of motion

### Lagrangian

$$L = \frac{1}{2} M g_{ab} \dot{\zeta}^a \dot{\zeta}^b = \frac{1}{2} M \left( \dot{R}^2 + R^2 \dot{\theta}^2 + 2g\tau\dot{R} + g^2\tau^2 \right)$$

$$\left. \begin{aligned} 0 &= \frac{d}{d\tau} M (\dot{R} + g\tau) - MR\dot{\theta}^2 \\ 0 &= \frac{d}{d\tau} (MR^2\dot{\theta}) \longrightarrow MR^2\dot{\theta} = \ell \end{aligned} \right\} \longrightarrow M\ddot{R} = \frac{\ell^2}{MR^3} - Mg$$

### Qualitative result

Particle at edge of disk sees force  $F = \ell^2 / MR^3 - Mg$

$Mg > \ell^2 / MR^3 \implies$  Particle moves at edge of disk  
As if attracted by gravitational force

$F_R = -Mg \longrightarrow$  Appears as “external” force  
Enters through evolution of circular geometry



# Canonical Mechanics in General 5D Spacetime

## Lagrangian

$$L = \frac{1}{2} M g_{\alpha\beta}(x^\mu, x^5) \dot{x}^\alpha \dot{x}^\beta \quad \lambda, \mu, \nu = 0, 1, 2, 3 \quad \alpha, \beta, \gamma = 0, 1, 2, 3, 5$$

## Euler-Lagrange $\rightarrow$ geodesic equations

$$0 = \frac{D\dot{x}^\gamma}{D\tau} = \ddot{x}^\gamma + \Gamma_{\alpha\beta}^\gamma \dot{x}^\alpha \dot{x}^\beta \quad \Gamma_{\alpha\beta}^\gamma = \frac{1}{2} g^{\gamma\delta} (\partial_\alpha g_{\delta\beta} + \partial_\beta g_{\delta\alpha} - \partial_\delta g_{\beta\alpha})$$

## Canonical momentum

$$p_\alpha = \frac{\partial L}{\partial \dot{x}^\alpha} = M g_{\alpha\beta} \dot{x}^\beta \quad \longrightarrow \quad \dot{x}^\alpha = \frac{1}{M} g^{\alpha\beta} p_\beta$$

## Conserved Hamiltonian

$$K = \dot{x}^\alpha p_\alpha - L = \frac{1}{2M} g^{\alpha\beta} p_\alpha p_\beta \quad \frac{dK}{d\tau} = M g_{\alpha\beta} \dot{x}^\alpha \frac{D\dot{x}^\beta}{D\tau} = 0$$

## Poisson bracket

$$\frac{dK}{d\tau} = \{K, K\} + \frac{\partial K}{\partial \tau} = \frac{1}{2M} p_\alpha p_\beta \frac{\partial g^{\alpha\beta}}{\partial \tau} = 0$$

# Break 5D symmetry $\longrightarrow$ 4D+1

Constrain non-dynamical scalar  $x^5 \equiv c_5 \tau$

$$L = \frac{1}{2} M g_{\alpha\beta}(x, \tau) \dot{x}^\alpha \dot{x}^\beta = \frac{1}{2} M g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu + M c_5 g_{\mu 5} \dot{x}^\mu + \frac{1}{2} M c_5^2 g_{55}$$

Euler-Lagrange  $\longrightarrow$  geodesic equations

$$0 = \frac{D\dot{x}^\alpha}{D\tau} = \ddot{x}^\alpha + \Gamma_{\beta\gamma}^\alpha \dot{x}^\beta \dot{x}^\gamma \longrightarrow \begin{cases} \ddot{x}^\mu + \Gamma_{\lambda\sigma}^\mu \dot{x}^\lambda \dot{x}^\sigma + 2c_5 \Gamma_{5\sigma}^\mu \dot{x}^\sigma + c_5^2 \Gamma_{55}^\mu = 0 \\ \ddot{x}^5 = \dot{c}_5 \equiv 0 \end{cases}$$

Symmetry-broken connection

$$\Gamma_{\alpha\beta}^\mu = \frac{1}{2} g^{\mu\nu} (\partial_\beta g_{\nu\alpha} + \partial_\alpha g_{\nu\beta} - \partial_\nu g_{\alpha\beta}) \quad \Gamma_{\alpha\beta}^5 \equiv 0$$

Hamiltonian

$$K = p_\mu \dot{x}^\mu - L = \frac{1}{2M} g^{\mu\nu} p_\mu p_\nu - \frac{1}{2} M c_5^2 g_{55}$$

$$\frac{dK}{d\tau} = \frac{\partial K}{\partial \tau} = -\frac{1}{2} M \dot{x}^\mu \dot{x}^\nu \partial_\tau g_{\mu\nu} - \frac{1}{2} M c_5^2 \partial_\tau g_{55}$$

# Matter

## Non-thermodynamic dust

Number of events per spacetime volume =  $n(x, \tau)$

Particle mass density =  $\rho(x, \tau) = Mn(x, \tau)$

5-component event current =  $j^\alpha(x, \tau) = \rho(x, \tau)\dot{x}^\alpha(\tau) = Mn(x, \tau)\dot{x}^\alpha(\tau)$

Continuity equation

$$\nabla_\alpha j^\alpha = \nabla_\mu j^\mu + \partial_\tau \rho$$

Mass-energy-momentum tensor

$$\nabla_\beta T^{\alpha\beta} = 0 \quad T^{\alpha\beta} = \rho \dot{x}^\alpha \dot{x}^\beta \longrightarrow \begin{cases} T^{\mu\nu} = \rho \dot{x}^\mu \dot{x}^\nu \\ T^{5\beta} = c_5 j^\beta \end{cases}$$

## Einstein equations

$$G_{\alpha\beta} = R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}$$

# Weak Field Approximation

Small perturbation to flat metric

$$g_{\alpha\beta} = \eta_{\alpha\beta} + h_{\alpha\beta} \longrightarrow \partial_\gamma g_{\alpha\beta} = \partial_\gamma h_{\alpha\beta} \quad (h_{\alpha\beta})^2 \approx 0 \quad h \simeq \eta^{\alpha\beta} h_{\alpha\beta}$$

Define  $\bar{h}_{\alpha\beta} = h_{\alpha\beta} - \frac{1}{2}\eta_{\alpha\beta}h \longrightarrow$  Einstein equations

$$\frac{16\pi G}{c^4} T_{\alpha\beta} = \partial_\beta \partial_\gamma \bar{h}_\alpha^\gamma + \partial_\alpha \partial_\gamma \bar{h}_\beta^\gamma - \partial_\gamma \partial_\gamma \bar{h}_{\alpha\beta} - \partial_\alpha \partial_\beta \bar{h}$$

Impose gauge condition  $\partial_\lambda \bar{h}^{\alpha\lambda} = 0 \longrightarrow$  wave equation

$$\frac{16\pi G}{c^4} T_{\alpha\beta} = -\partial^\gamma \partial_\gamma \bar{h}_{\alpha\beta} = -\left( \partial^\mu \partial_\mu + \frac{\eta_{55}}{c_5^2} \partial_\tau^2 \right) \bar{h}_{\alpha\beta}$$

Solve with principal part Green's function

$$\bar{h}_{\alpha\beta}(x, \tau) = \frac{4G}{c^4} \int d^3x' \frac{T_{\alpha\beta}\left(t - \frac{|\mathbf{x} - \mathbf{x}'|}{c}, \mathbf{x}', \tau\right)}{|\mathbf{x} - \mathbf{x}'|}$$

# Post-Newtonian Approximation

Point source  $X = (cT(\tau), \mathbf{0})$  in co-moving frame where  $\dot{T} = 1 + \alpha(\tau)/2$  and  $\alpha^2 \approx 0$

$$T^{00} = mc^2 \dot{T}^2 \delta^3(\mathbf{x}) \rho(t - T(\tau)) \quad T^{ai} = 0 \quad T^{55} = \frac{c^2}{2} T^{00} \approx 0$$

Writing  $M(\tau) = m \rho(t - T(\tau))$  a slowly varying density function

$$\bar{h}^{00}(x, \tau) = \frac{4GM}{c^2 R} \dot{T}^2 \quad \bar{h}^{ai}(x, \tau) = 0 \quad \bar{h}^{55}(x, \tau) = \frac{c^2}{2} \bar{h}^{00} \approx 0$$

$$\Gamma_{00}^\mu = -\frac{1}{2} \eta^{\mu\nu} \partial_\nu h_{00} \quad \Gamma_{50}^\mu = \frac{1}{2c_5} \eta^{\mu 0} \partial_\tau h_{00}$$

Equations of motion for a test particle in spherical coordinates putting  $\theta = \pi/2$

$$\ddot{t} = (\partial_\tau h_{00}) \dot{t} + \dot{\mathbf{x}} \cdot (\nabla h_{00}) \dot{t}^2 \approx \frac{2GM}{c^2 R} \left(1 + \frac{\alpha(\tau)}{2}\right) \dot{\alpha}(\tau) \dot{t}$$

$$\ddot{\mathbf{x}} = \frac{c^2}{2} (\nabla h_{00}) \dot{t}^2 \longrightarrow \begin{cases} 2\dot{R}\dot{\phi} + R\ddot{\phi} = 0 \longrightarrow \dot{\phi} = \frac{L}{MR^2} \\ \ddot{R} - \frac{L^2}{M^2 R^3} = -\frac{GM}{R^2} \dot{t}^2 \dot{T}^2 \end{cases}$$

# Post-Newtonian Evolution

Solution to  $t$  equation neglecting  $\dot{R}/c \ll 1$  and  $\partial_\tau \rho \approx 0$

$$\dot{t} = \exp \left[ \frac{2GM}{c^2 R} \left( \alpha + \frac{1}{4} \alpha^2 \right) \right] \longrightarrow \dot{t}^2 \dot{T}^2 \simeq 1 + \frac{1}{2} \left( 1 + \frac{2GM}{c^2 R} \right) \alpha$$

Taking  $\alpha = 0$  recovers  $\dot{t} = 1$  for Newtonian case

Using solution to  $t$  equation in radial equation

$$\frac{d}{d\tau} \left\{ \frac{1}{2} \dot{R}^2 + \frac{1}{2} \frac{L^2}{M^2 R^2} - \frac{GM}{R} \left( 1 + \frac{1}{2} \alpha(\tau) \right) \right\} = - \frac{GM}{2R} \frac{d}{d\tau} \alpha(\tau)$$

LHS is  $\frac{dK}{d\tau}$  where  $K$  is particle Hamiltonian = particle mass

In source rest frame,  $\dot{T} \neq 1 \Rightarrow \Delta \text{energy without } \Delta \text{momentum} \Rightarrow \Delta \text{mass}$

Source transfers mass to perturbed metric field  $h_{\alpha\beta}$

Particle mass not generally conserved  $\longrightarrow$  particle absorbs mass from  $h_{\alpha\beta}$

Taking  $\alpha = 0$  recovers nonrelativistic motion with conserved Hamiltonian

# 3+1 Formalism in General Relativity

## Time evolution formalism for Einstein equations

Formulate field equations as initial value problem

Decompose 10 components of spacetime metric into:

6 component space metric

3 component (spatial) shift vector

1 component (time) lapse

Decompose 10 components of Einstein equations into:

6 component partial differential equation (PDE) of second order in  $\partial_t$

4 constraints on space metric, its 1<sup>st</sup>  $t$ -derivative, energy-momentum

## Based on mathematics of embedded hypersurfaces

Darmois (1927), Lichnerowicz (1939), Choquet-Bruhat (1952)

Intrinsic structure of hypersurface

Extrinsic structure imposed by embedding hypersurface in larger manifold

Arnowitt, Deser, Misner (1962)

ADM Hamiltonian formulation of GR

Intrinsic and extrinsic structure  $\rightarrow$  canonical conjugate field variables

## 4+1 formalism generalizes 3+1 framework as presented in:

Gourgoulhon (2007), Bertschinger (2005), ADM (1962), Isham (1992), Blau (2020)

# Schematic Outline of 3+1 Formalism

## Define a foliation of 4D spacetime

Choose scalar field  $t(x)$  on spacetime

Hypersurface = simultaneous spacetime points  $x$  sharing  $t(x) = \text{constant}$

Choose  $t(x)$  to identify spacelike hypersurfaces (3D space manifold at time  $t$ )

Each vector  $V$  tangent to each hypersurface is spacelike ( $V^2 > 0$ )

Vector  $n$  normal to hypersurface is timelike ( $n^2 = -1$ )

## Projection operators split tangent and normal components of 4D objects

Induced space metric  $\gamma_{ij}$  is spacelike projection of spacetime metric  $g_{\mu\nu}$

Spacetime metric  $g_{\mu\nu} \longrightarrow \{ \gamma_{ij}, (\text{normal}) \text{ lapse } N, \text{ and } (\text{tangent}) \text{ shift } N^i \}$

Spacelike projection of 4D covariant derivative compatible with  $\gamma_{ij}$

Spacelike projection of 4D curvature  $\longrightarrow$  usual 3D (intrinsic) curvature

Timelike projection of 4D curvature  $\longrightarrow$  extrinsic curvature of  $t$ -evolving space

## Einstein equations

Project Einstein equations onto spacelike hypersurface and timelike normal

10 components of Einstein equations split into two groups

6 second order PDEs describing  $t$ -evolution of space metric  $\gamma_{ij}$

4 non-evolving constraints on initial conditions



# 4+1 Formalism in SHP General Relativity

## Schematic Outline I: The Embedding

5D pseudo-spacetime coordinates  $X = (x, c_5\tau) \in \mathcal{M}_5 = \mathcal{M} \times R$

Manifold  $\mathcal{M}_5$  an admixture of 4D spacetime geometry and  $\tau$ -evolution

In flat pseudo-spacetime  $g_{\alpha\beta} \rightarrow \eta_{\alpha\beta} = \text{diag}(-1, 1, 1, 1, \sigma)$  where  $\sigma = \pm 1$

Natural foliation of 5D pseudo-spacetime  $\mathcal{M}_5 \rightarrow$  spacetime hypersurface  $\Sigma_\tau$

Time function  $\tau(X) \rightarrow \Sigma_{\tau_0} = \{X \in \mathcal{M}_5 \mid S(X) = \tau(X) - \tau_0 = 0\}$

Vector  $n$  normal to hypersurface  $\Sigma_{\tau_0}$  is  $\tau$ -like ( $n^2 = \sigma = \pm 1$ )

Projection operators split tangent and normal components of 5D objects

Induced spacetime metric  $\gamma_{\mu\nu}$  is projection onto  $\mathcal{M}$  of 5D metric  $g_{\alpha\beta}$

5D metric  $g_{\alpha\beta} \rightarrow \{\gamma_{\mu\nu}, \text{lapse } N, \text{ and (tangent) shift } N^\mu\}$

Spacetime projection of 5D covariant derivative compatible with  $\gamma_{\mu\nu}$

Spacetime projection of 5D curvature  $\rightarrow$  usual 4D (intrinsic) curvature  $R_{\mu\nu\lambda\rho}$

$\tau$  projection of 5D curvature  $\rightarrow$  extrinsic curvature of  $\tau$ -evolution  $K_{\alpha\beta}$

# 4+1 Formalism in SHP General Relativity

## Schematic Outline II: Einstein Equations

### 5D Einstein equations

$$G_{\alpha\beta} = R_{\alpha\beta} - \frac{1}{2}Rg_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}$$

### Bianchi relation

$$\nabla_{\alpha}G^{\alpha\beta} = 0$$

5 constraints on solution to field equations

### Project Einstein equations onto spacetime hypersurface and $\tau$ -like normal

Decompose 15 components of field equations into two groups

10 unconstrained second order PDEs

Describe  $\tau$ -evolution of spacetime metric  $\gamma_{\mu\nu}$  and extrinsic curvature  $K_{\mu\nu}$

5 non-evolving constraints on initial conditions:  $\{\gamma_{\mu\nu}, \partial_{\tau}\gamma_{\mu\nu}, T_{\alpha\beta}\}$

# Foliation of 5D Pseudo-Spacetime $\mathcal{M}_5 = \mathcal{M} \times R$

## Embedding

$$\text{Injective mapping } \Phi : \mathcal{M} \rightarrow \mathcal{M}_5 \text{ with } \begin{cases} x^\mu \in \mathcal{M}, \mu = 0, 1, 2, 3 \\ X^\alpha = (x, c_5\tau) \in \mathcal{M}_5, \alpha = 0, 1, 2, 3, 5 \end{cases}$$

## 4D hypersurface — implicitly defined submanifold

$$\Sigma_{\tau_0} = \{X \in \mathcal{M}_5 \mid S(X) = 0\} \text{ where } S(X) = \tau(X) - \tau_0 = X^5/c_5 - \tau_0$$

## Rank 4 Jacobian

$$E_\mu^\alpha = \left( \frac{\partial X^\alpha}{\partial x^\mu} \right)_{\tau_0} \longrightarrow E_\mu = \partial_\mu = \partial/\partial x^\mu \text{ as basis for tangent space of } \Sigma_{\tau_0}$$

## Unit normal to $\Sigma_{\tau_0}$

$$n_\alpha = \sigma |g^{55}|^{-1/2} \partial_\alpha S(X) \longrightarrow \begin{cases} n \cdot E_\mu = n_\alpha E_\mu^\alpha = 0 \\ n^2 = g^{\alpha\beta} n_\alpha n_\beta = \sigma \end{cases}$$

## Induced metric on $\mathcal{M}$

$$ds^2 = g_{\alpha\beta} dX^\alpha dX^\beta = g_{\alpha\beta} \frac{\partial X^\alpha}{\partial x^\mu} \frac{\partial X^\beta}{\partial x^\nu} dx^\mu dx^\nu = \gamma_{\mu\nu} dx^\mu dx^\nu \longrightarrow \gamma_{\mu\nu} = g_{\alpha\beta} E_\mu^\alpha E_\nu^\beta$$

# Projection Operators

## Projections

$$A \in \mathcal{T}_x(\mathcal{M}_5) = \text{tangent space of } \mathcal{M}_5 \longrightarrow \begin{cases} A_{\parallel} = \sigma(A \cdot n) n \\ A_{\perp} = A - \sigma(A \cdot n) n \end{cases}$$

## Normal Projection Operator

$$N_{\alpha\beta} = \sigma n_{\alpha} n_{\beta} \quad N_{\alpha\gamma} N^{\gamma\beta} = \sigma^2 n^2 n_{\alpha} n^{\beta} = N_{\alpha}^{\beta}$$

## Tangent Projection Operator

$$P_{\alpha\beta} = g_{\alpha\beta} - \sigma n_{\alpha} n_{\beta} \quad P_{\beta}^{\alpha} = g^{\alpha\beta} - \sigma n^{\alpha} n^{\beta} \quad P_{\alpha\gamma} P^{\gamma\beta} = P_{\alpha}^{\beta} = \delta_{\alpha}^{\beta} - \sigma n_{\alpha} n^{\beta}$$

## Completeness Relation

$$\delta_{\beta}^{\alpha} = P_{\beta}^{\alpha} + \sigma n^{\alpha} n_{\beta}$$

## Projector $P_{\mu\nu}$ restricted to $\Sigma_{\tau}$ is metric $\gamma_{\mu\nu}$

$$V \in \mathcal{T}_x(\mathcal{M}_5) \longrightarrow V_{\perp}^{\alpha} = P_{\beta}^{\alpha} V^{\beta} \in \mathcal{T}_x(\Sigma_{\tau}) \longrightarrow \exists v \in \mathcal{T}_x(\mathcal{M}) \text{ such that } V_{\perp}^{\alpha} = v^{\mu} E_{\mu}^{\alpha}$$

$$\gamma_{\mu\nu} = g_{\alpha\beta} E_{\mu}^{\alpha} E_{\nu}^{\beta} = (P_{\alpha\beta} + \sigma n_{\alpha} n_{\beta}) E_{\mu}^{\alpha} E_{\nu}^{\beta} = P_{\alpha\beta} E_{\mu}^{\alpha} E_{\nu}^{\beta} = P_{\mu\nu}$$

# Decomposition of the Metric

## Time evolution

$$\text{Embedding } X^\alpha(x, \tau) \longrightarrow \begin{cases} \text{hypersurface} & \Sigma_{\tau_0} = \{X^\alpha \mid \tau(X) = \tau_0\} \\ \text{trajectory} & X^\alpha(\tau) = \{X^\alpha(x, \tau) \mid x = x_0\} \end{cases}$$

General trajectory: normal component  $\in \Sigma_{\tau_0 + \delta\tau}$  and tangent component  $\in \Sigma_{\tau_0}$

$$\tau \rightarrow \tau + \delta\tau \Rightarrow X^\alpha + \left(\frac{\partial X^\alpha}{\partial \tau}\right)_{x_0} \delta\tau = X^\alpha + (Nn^\alpha + N^\mu E_\mu^\alpha) \delta\tau$$

Lapse function  $N$  and Shift vector  $N^\mu$

## Spacetime shift

$$X^\alpha \rightarrow X^\alpha + \left(\frac{\partial X^\alpha}{\partial x^\mu}\right)_{\tau_0} \delta x^\mu = X^\alpha + E_\mu^\alpha \delta x^\mu$$

## 5D interval

$$ds^2 = g_{\alpha\beta} dX^\alpha dX^\beta = g_{\alpha\beta} [Nn^\alpha c_5 d\tau + E_\mu^\alpha (N^\mu c_5 d\tau + dx^\mu)] [Nn^\beta c_5 d\tau + E_\nu^\beta (N^\nu c_5 d\tau + dx^\nu)]$$

Decomposition of metric using  $n^2 = \sigma$   $n_\alpha E_\mu^\alpha = 0$   $\gamma_{\mu\nu} = g_{\alpha\beta} E_\mu^\alpha E_\nu^\beta$

$$g_{\alpha\beta} = \begin{bmatrix} \gamma_{\mu\nu} & N_\mu \\ N_\mu & \sigma N^2 + \gamma_{\mu\nu} N^\mu N^\nu \end{bmatrix} \quad g^{\alpha\beta} = \begin{bmatrix} \gamma^{\mu\nu} + \sigma \frac{1}{N^2} N^\mu N^\nu & -\sigma \frac{1}{N^2} N^\mu \\ -\sigma \frac{1}{N^2} N^\mu & \sigma \frac{1}{N^2} \end{bmatrix}$$

# Intrinsic Geometry

## Covariant derivatives — compatibility — curvature

$$\text{On } \mathcal{M}_5 \quad g_{\beta\gamma} \longrightarrow \Gamma_{\sigma\beta\gamma} \longrightarrow \nabla_\alpha g_{\beta\gamma} = 0 \longrightarrow [\nabla_\beta, \nabla_\alpha] X_\delta = X_\gamma R_{\delta\alpha\beta}^\gamma$$

$$\text{On } \mathcal{M} \quad \gamma_{\mu\nu} \longrightarrow \Gamma_{\mu\nu\lambda} \longrightarrow D_\mu \gamma_{\nu\lambda} = 0 \longrightarrow [D_\nu, D_\mu] X_\rho = X_\lambda R_{\rho\mu\nu}^\lambda$$

## Projected Covariant Derivative

$$\text{For } V \in \mathcal{M}_5 \text{ define } \bar{\nabla}_\alpha V_\beta^\perp = (P_\alpha^\gamma \nabla_\gamma) (P_\beta^\delta V_\delta) = P_\alpha^\gamma P_\beta^\delta \nabla_\gamma V_\delta$$

$$\text{using } \bar{\nabla}_\alpha P_{\beta\gamma} = -\sigma P_\alpha^{\alpha'} P_{\beta'}^{\beta} P_{\gamma'}^{\gamma} \left( (\nabla_{\alpha'} n_{\gamma'}) n_{\beta'} + n_{\beta'} \nabla_{\alpha'} n_{\gamma'} \right) = 0$$

$$\text{Associate } D_\alpha = \bar{\nabla}_\alpha \text{ on } \Sigma_\tau \longrightarrow D_\mu \text{ on } \mathcal{M}$$

$$\text{through } D_\mu = E_\mu^\alpha D_\alpha = E_\mu^\alpha P_\alpha^\gamma \nabla_\gamma = E_\mu^\gamma \nabla_\gamma$$

## Projected Curvature $\bar{R}_{\delta\alpha\beta}^\gamma$

$$\text{On } \Sigma_\tau \quad \gamma_{\beta\gamma} \longrightarrow \bar{\Gamma}_{\sigma\beta\gamma} \longrightarrow D_\alpha \gamma_{\beta\gamma} = 0 \longrightarrow [D_{\beta'}, D_\alpha] X_\delta = X_\gamma \bar{R}_{\delta\alpha\beta}^\gamma$$

# Extrinsic Geometry

## Extrinsic Curvature

Curvature of  $\mathcal{M}$  as manifold embedded in  $\mathcal{M}_5$  as  $\Sigma_\tau$

Gradient  $\nabla_\gamma n_\delta$  in  $\mathcal{M}_5$

Extrinsic curvature = projection of gradient onto  $\Sigma_\tau \longrightarrow K_{\alpha\beta} = -P_\alpha^\gamma P_\beta^\delta \nabla_\gamma n_\delta$

Using  $n^2 = \sigma \longrightarrow (\nabla_\alpha n_\beta) n^\beta = 0 \longrightarrow \nabla_\alpha n_\beta \in \Sigma_\tau \longrightarrow P_\beta^\delta (\nabla_\gamma n_\delta) = \nabla_\gamma n_\beta$

$$K_{\alpha\beta} = -P_\alpha^\gamma \nabla_\gamma n_\beta = -\nabla_\alpha n_\beta + \sigma n_\alpha (n^\gamma \nabla_\gamma n_\beta)$$

Using  $n_\beta = \sigma |g^{55}|^{-1/2} \partial_\beta S(X) = \sigma N \nabla_\beta S(X)$

Expanding  $n^\gamma \nabla_\gamma n_\beta = n^\gamma (\nabla_\gamma N) \frac{n_\beta}{N} + n^\gamma N \nabla_\beta \left( \frac{n_\gamma}{N} \right) = -\sigma \frac{1}{N} D_\beta N$

$$K_{\alpha\beta} = -\nabla_\alpha n_\beta - n_\alpha \frac{1}{N} D_\beta N$$

# Extrinsic Geometry

## Evolution of Hypersurface $\Sigma_\tau$

### Time Evolution of $\Sigma_\tau$

$$\delta X^\alpha = \left( \frac{\partial X^\alpha}{\partial x^5} \right)_{x_0} \delta x^5 = \left( \frac{\partial X^\alpha}{\partial \tau} \right)_{x_0} \delta \tau \longrightarrow E_5^\alpha = (\partial_5)^\alpha = N n^\alpha + N^\mu E_\mu^\alpha$$

$$\text{Define } m^\alpha = N n^\alpha \Rightarrow m^2 = \sigma N^2 \longrightarrow \partial_5 = m + \mathbf{N}$$

$$\text{Using } K_{\alpha\beta} = -\nabla_\alpha n_\beta - n_\alpha \frac{1}{N} D_\beta N$$

$$\nabla_\beta m_\alpha = N \nabla_\beta n_\alpha + n_\alpha \nabla_\beta N = -N K_{\beta\alpha} - n_\beta D_\alpha N + n_\alpha \nabla_\beta N$$

### Lie derivative of $\gamma_{\mu\nu}$ along $\partial_5$

$$\mathcal{L}_5 = \mathcal{L}_m + \mathcal{L}_\mathbf{N}$$

$$\text{Using } \mathcal{L}_m \gamma_{\alpha\beta} = m^\gamma \nabla_\gamma \gamma_{\alpha\beta} + \gamma_{\gamma\beta} \nabla_\alpha m^\gamma + \gamma_{\alpha\gamma} \nabla_\beta m^\gamma = -2N K_{\alpha\beta}$$

Evolution equation for spacetime metric

$$\mathcal{L}_5 \gamma_{\alpha\beta} - \mathcal{L}_\mathbf{N} \gamma_{\alpha\beta} = -2N K_{\alpha\beta} \longrightarrow \mathcal{L}_5 \gamma_{\mu\nu} - \mathcal{L}_\mathbf{N} \gamma_{\mu\nu} = -2N K_{\mu\nu}$$



# Decomposition of the Riemann Tensor

## Gauss-Codazzi Relations

Using  $\delta_{\alpha}^{\alpha'} = P_{\alpha}^{\alpha'} + \sigma n_{\alpha} n^{\alpha'}$   $E_{\mu}^{\alpha} P_{\alpha}^{\alpha'} = E_{\mu}^{\alpha'}$   $n_{\alpha} E_{\mu}^{\alpha} = 0$  to expand

$$R_{\delta\alpha\beta}^{\gamma} = \delta_{\alpha}^{\alpha'} \delta_{\beta}^{\beta'} \delta_{\gamma'}^{\gamma} \delta_{\delta'}^{\delta} R_{\delta'\alpha'\beta'}^{\gamma'} \longrightarrow \begin{cases} E_{\mu}^{\alpha} E_{\nu}^{\beta} E_{\gamma}^{\lambda} E_{\sigma}^{\delta} P_{\alpha}^{\alpha'} P_{\beta}^{\beta'} P_{\gamma'}^{\gamma} P_{\delta}^{\delta'} R_{\delta'\alpha'\beta'\gamma'} = R_{\sigma\mu\nu}^{\lambda} \\ E_{\mu}^{\alpha} E_{\nu}^{\beta} E_{\gamma}^{\lambda} P_{\gamma'}^{\gamma} n^{\delta} P_{\alpha}^{\alpha'} P_{\beta}^{\beta'} R_{\delta\alpha'\beta'}^{\gamma'} = \sigma N R_{5\mu\nu}^{\lambda} \\ E^{\alpha\mu} E_{\nu}^{\beta} P_{\alpha\alpha'} n^{\delta} P_{\beta}^{\beta'} n^{\gamma} R_{\delta\beta'\gamma}^{\alpha'} = N^2 R_{5\nu 5}^{\mu} \end{cases}$$

where  $R_{\delta\alpha\beta}^{\gamma} n^{\delta} n^{\alpha} n^{\beta} = 0$  because of the symmetries of the Riemann tensor

## Gauss relation

Decomposing projected Ricci equation for  $V$  tangent to  $\Sigma_{\tau}$

$$\left[ D_{\beta}, D_{\alpha} \right] V_{\delta} = V_{\gamma} \bar{R}_{\delta\alpha\beta}^{\gamma} \longrightarrow R^{\mu}_{\nu\lambda\rho} = \bar{R}^{\mu}_{\nu\lambda\rho} - \sigma \left( K_{\lambda}^{\mu} K_{\rho\nu} - K_{\rho}^{\mu} K_{\lambda\nu} \right)$$

## Codazzi relation

Decomposing Ricci equation for unit normal  $n$

$$\left[ \nabla_{\beta}, \nabla_{\alpha} \right] n^{\gamma} = R_{\gamma'\alpha\beta}^{\gamma} n^{\gamma'} \longrightarrow R^5_{\mu\nu\lambda} = \sigma \frac{1}{N} \left( D_{\lambda} K_{\nu\mu} - D_{\nu} K_{\lambda\mu} \right)$$

# Evolution of the Extrinsic Curvature

Decompose Ricci equation for unit normal  $n$

$$\nabla_\beta \nabla_\gamma n^\alpha - \nabla_\gamma \nabla_\beta n^\alpha = R_{\delta\beta\gamma}^\alpha n^\delta$$

Project once onto  $n$  and twice onto  $\Sigma_\tau$

$$P_{\alpha\alpha'} n^{\gamma'} P_\beta^{\beta'} \left( \nabla_{\beta'} \nabla_{\gamma'} n^{\alpha'} - \nabla_{\gamma'} \nabla_{\beta'} n^{\alpha'} \right) = P_{\alpha\alpha'} n^{\gamma'} P_\beta^{\beta'} R_{\delta\beta'\gamma'}^{\alpha'} n^\delta$$

Use  $K_{\alpha\beta} = -\nabla_\alpha n_\beta - n_\alpha \frac{1}{N} D_\beta N$

to obtain  $P_{\alpha\alpha'} n^{\gamma'} P_\beta^{\beta'} R_{\delta\beta'\gamma'}^{\alpha'} n^\delta = -K_{\alpha\gamma} K^\gamma_\beta + \frac{1}{N} D_\beta D_\alpha N + P^\gamma_\alpha P^\delta_\beta n^\varepsilon \nabla_\varepsilon K_{\gamma\delta}$

Lie derivative of  $K_{\alpha\beta}$

$$(\mathcal{L}_5 - \mathcal{L}_N) K_{\alpha\beta} = \mathcal{L}_m K_{\alpha\beta} = m^\gamma \nabla_\gamma K_{\alpha\beta} + K_{\gamma\beta} \nabla_\alpha m^\gamma + K_{\alpha\gamma} \nabla_\beta m^\gamma$$

Using  $\nabla_\beta m^\alpha = -NK_\beta^\alpha - n_\beta D^\alpha N + n^\alpha \nabla_\beta N$

and combining the above leads to

$$P^\alpha_{\alpha'} P_\beta^{\beta'} R_{\alpha'\beta'} = \sigma \frac{1}{N} \mathcal{L}_m K_{\alpha\beta} + \sigma \frac{1}{N} D_\alpha D_\beta N + \bar{R}_{\alpha\beta} - \sigma K K_{\alpha\beta} + \sigma 2 K_\alpha^\delta K_{\beta\delta}$$

# Decomposition of the Einstein Equations

Evolution Equation for  $K_{\alpha\beta}$

Einstein equations

$$R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R = \frac{8\pi G}{c^4}T_{\alpha\beta} \longrightarrow R_{\alpha\beta} = \frac{8\pi G}{c^4} \left( T_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}T \right) \quad T = g^{\alpha\beta}T_{\alpha\beta}$$

Decompose  $T_{\alpha\beta}$

$$T_{\alpha\beta} = T_{\alpha'\beta'} \left( P_{\alpha}^{\alpha'} + \sigma n^{\alpha'} n_{\alpha} \right) \left( P_{\beta}^{\beta'} + \sigma n^{\beta'} n_{\beta} \right) = S_{\alpha\beta} + 2\sigma n_{\alpha} p_{\beta} + n_{\alpha} n_{\beta} \kappa$$

where

$$\begin{aligned} S_{\alpha\beta} &= P_{\alpha}^{\alpha'} P_{\beta}^{\beta'} T_{\alpha'\beta'} \longleftrightarrow T_{\mu\nu} & p_{\beta} &= -n^{\alpha'} P_{\beta}^{\beta'} T_{\alpha'\beta'} \longleftrightarrow T_{5\mu} \\ \kappa &= n^{\alpha} n^{\beta} T_{\alpha\beta} \longleftrightarrow T_{55} & T &= S + \sigma\kappa \end{aligned}$$

Combining  $P_{\alpha}^{\alpha'} P_{\beta}^{\beta'} \left( T_{\alpha'\beta'} - \frac{1}{2}g_{\alpha'\beta'} T \right) = S_{\alpha\beta} - \frac{1}{2}\gamma_{\alpha\beta} (S + \sigma\kappa)$  with  $\mathcal{L}_m K_{\alpha\beta}$  leads to

$$\begin{aligned} (\mathcal{L}_5 - \mathcal{L}_N) K_{\mu\nu} &= -D_{\mu} D_{\nu} N \\ &+ N \left\{ -\sigma \bar{R}_{\mu\nu} + K K_{\mu\nu} - 2K_{\mu}^{\lambda} K_{\nu\lambda} + \sigma \frac{8\pi G}{c^4} \left[ S_{\mu\nu} - \frac{1}{2}\gamma_{\mu\nu} (S + \sigma\kappa) \right] \right\} \end{aligned}$$

# Decomposition of the Einstein Equations

## Constraint Equations

Projecting Einstein equations twice onto normal  $n$

$$\left(R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R\right)n^\alpha n^\beta = \frac{8\pi G}{c^4}T_{\alpha\beta}n^\alpha n^\beta \longrightarrow R_{\alpha\beta}n^\alpha n^\beta - \frac{1}{2}\sigma R = \frac{8\pi G}{c^4}\kappa$$

Contracting indices in Gauss relation

$$R - 2\sigma R_{\alpha\beta}n^\alpha n^\beta = \bar{R} - \sigma\left(K^2 - K^{\alpha\delta}K_{\alpha\delta}\right)$$

Hamiltonian Constraint

Combining above leads to

$$\bar{R} - \sigma\left(K^2 - K^{\mu\nu}K_{\mu\nu}\right) = -\sigma\frac{16\pi G}{c^4}\kappa$$

Momentum Constraint

Projecting Einstein equations onto  $\Sigma_\tau$  and normal  $n$

$$n^\alpha P^{\beta'}_\beta \left(R_{\alpha\beta'} - \frac{1}{2}g_{\alpha\beta'}R\right) = n^\alpha P^{\beta'}_\beta \frac{8\pi G}{c^4}T_{\alpha\beta'} = -\frac{8\pi G}{c^4}p_\beta$$

Combining with Codazzi relation leads to

$$D_\mu K_\nu^\mu - D_\nu K = \frac{8\pi G}{c^4}p_\nu$$

# Decomposition of the Einstein Equations

## Summary of Differential Equations in 4+1 Formalism

Evolution equation for spacetime metric

$$\frac{1}{c^5} \mathcal{L}_\tau \gamma_{\mu\nu} - \mathcal{L}_N \gamma_{\mu\nu} = -2NK_{\mu\nu}$$

Evolution equation for extrinsic curvature

$$\left( \frac{1}{c^5} \mathcal{L}_\tau - \mathcal{L}_N \right) K_{\mu\nu} = -D_\mu D_\nu N + N \left\{ -\sigma \bar{R}_{\mu\nu} + K K_{\mu\nu} - 2K_\mu^\lambda K_{\nu\lambda} + \sigma \frac{8\pi G}{c^4} \left[ S_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} (S + \sigma \kappa) \right] \right\}$$

Hamiltonian Constraint

$$\bar{R} - \sigma \left( K^2 - K^{\mu\nu} K_{\mu\nu} \right) = -\sigma \frac{16\pi G}{c^4} \kappa$$

Momentum Constraint

$$D_\mu K_\nu^\mu - D_\nu K = \frac{8\pi G}{c^4} p_\nu$$

# Evolution Versus Constraints

## Bianchi relation

$$\nabla_\alpha G^{\alpha\beta} = \nabla_\alpha \left( R^{\alpha\beta} - \frac{1}{2} g^{\alpha\beta} R \right) = 0$$

In  $D$  dimensions symmetric tensor  $G^{\alpha\beta}$  has  $D(D+1)/2$  components

$G^{\alpha\beta}$  has 15 components on  $\mathcal{M}_5$

5 components of Bianchi relation  $\rightarrow$  10 independent components + 5 constraints

## Order of $\tau$ derivative

Einstein equations  $G_{\alpha\beta} = \frac{8\pi G}{c^4} T_{\alpha\beta} \rightarrow$  2<sup>nd</sup> order in  $\tau$  derivatives of  $g_{\alpha\beta}$

Initial conditions for evolution PDE =  $\{g_{\alpha\beta}, \partial_\tau g_{\alpha\beta}, T_{\alpha\beta}\}$

## Bianchi relation

$$\nabla_\alpha G^{\alpha\beta} = 0 \rightarrow \frac{1}{c_5} \partial_\tau G^{5\beta} = -\nabla_\mu G^{\mu\beta} + \{\text{Christoffel symbols}\} \times G^{\alpha\beta}$$

RHS at most 2<sup>nd</sup> order in  $\partial_\tau \Rightarrow G^{5\beta}$  at most 1<sup>st</sup> order in  $\partial_\tau$

$G^{5\beta} \rightarrow$  5 constraints on initial conditions

Bianchi relation:  $G^{\alpha\beta} \Big|_{\tau_0} = 0 \Rightarrow \frac{1}{c_5} \partial_\tau G^{5\beta} \Big|_{\tau_0} = 0 \rightarrow$  constraint is conserved

# Static Schwarzschild-like Geometry

Metric for  $T_{\alpha\beta} = 0 \rightarrow S_{\mu\nu} = p_\mu = \kappa = 0$

$$ds^2 = -c^2 B dt^2 + A dr^2 + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2 + \sigma W c_5^2 d\tau^2$$

where

$$B(r) = A^{-1}(r) = 1 - \Phi_0(r) = 1 - \frac{GM}{rc^2} \quad W = W(x, \tau)$$

$$g_{\alpha\beta} = \begin{bmatrix} \gamma_{\mu\nu} & N_\mu \\ N_\mu & \sigma N^2 + \gamma_{\mu\nu} N^\mu N^\nu \end{bmatrix} = \begin{bmatrix} \gamma_{\mu\nu}(r) & 0 \\ 0 & \sigma N^2(x, \tau) \end{bmatrix}$$

with  $\bar{R} = 0$  for Schwarzschild spacetime metric and  $N = \sqrt{W}$

Dynamical Equations  $\rightarrow$  4D wave equation for  $\sqrt{W}$

$$(\partial_5 - \mathcal{L}_N) \gamma_{\mu\nu} = -2NK_{\mu\nu} \rightarrow \partial_\tau \gamma_{\mu\nu} = -2NK_{\mu\nu} \rightarrow K_{\mu\nu} = 0$$

$$\partial_5 K_{\mu\nu} = -D_\mu D_\nu N + N \left( -\sigma \bar{R}_{\mu\nu} + K K_{\mu\nu} - 2K_\mu^\lambda K_{\nu\lambda} \right) \rightarrow \gamma^{\mu\nu} D_\mu D_\nu \sqrt{W}(x, \tau) = 0$$

Constraints trivially satisfied for  $\bar{R} = K_{\mu\nu} = p_\nu = \kappa = 0$

$$\bar{R} - \sigma \left( K^2 - K^{\mu\nu} K_{\mu\nu} \right) = -\sigma \frac{16\pi G}{c^4} \kappa \quad D_\mu K_\nu^\mu - D_\nu K = \frac{8\pi G}{c^4} p_\nu$$

# Perturbation Around Schwarzschild Geometry

Admit variable mass

$$M(\tau) = M[1 + \alpha(\tau)] \quad \alpha^2 \ll 1 \quad \longrightarrow \quad B = A^{-1} = 1 - \Phi_0[1 + \alpha(\tau)]$$

Take  $W = 1$

4D connection  $\tau$ -dependent but retains unperturbed form  $\longrightarrow \bar{R} = 0$

Dynamical equations (neglecting terms in  $\alpha^2$  and  $\Phi_0^2$ )

Metric evolution

$$\partial_5 \gamma_{\mu\nu} = -2NK_{\mu\nu} \quad \longrightarrow \quad K_{\mu\nu} = -\frac{1}{2c_5} \partial_\tau \gamma_{\mu\nu} = -\frac{\Phi_0 \dot{\alpha}(\tau)}{2c_5} \text{diag} \left( 1, \frac{1}{B^2}, 0, 0 \right)$$

$$K_\nu^\mu = \gamma^{\mu\lambda} K_{\lambda\nu} = -\frac{\Phi_0 \dot{\alpha}(\tau)}{2c_5} \text{diag}(-1, 1, 0, 0) \quad \longrightarrow \quad K = K_\mu^\mu = 0$$

Curvature evolution, using  $\bar{R} = 0$ ,  $N = 1$ ,  $N^\mu = 0$ , and  $(K_{\mu\nu})^2 \propto \alpha^2 \approx 0$

$$\frac{1}{c_5} \partial_\tau K_{\mu\nu} = -\frac{1}{2c_5^2} \Phi_0 \ddot{\alpha}(\tau) \text{diag} \left( 1, \frac{1}{B^2}, 0, 0 \right) = \sigma \frac{8\pi G}{c^4} \left[ S_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} (S + \sigma\kappa) \right]$$



# Perturbing Energy Momentum Tensor

Hamiltonian constraint  $\rightarrow$  no perturbing mass density

$$\bar{R} - \sigma \left( K^2 - K^{\mu\nu} K_{\mu\nu} \right) = -\sigma \frac{16\pi G}{c^4} \kappa \rightarrow \kappa \propto \alpha^2 \Phi_0^2 \approx 0$$

Momentum constraint  $\rightarrow$  perturbing mass current into  $r$  direction

$$p_\nu = D_\mu K_\nu^\mu - D_\nu K = \partial_\mu K_\nu^\mu + K_\nu^\lambda \Gamma_{\lambda\mu}^\mu - K_\lambda^\mu \Gamma_{\nu\mu}^\lambda$$

$$p_0 = \partial_r K_0^1 + K_0^0 \Gamma_{0\mu}^\mu - K_\lambda^\mu \Gamma_{0\mu}^\lambda = K_0^0 \Gamma_{0\mu}^\mu - K_0^0 \Gamma_{00}^0 - K_1^1 \Gamma_{01}^1 = 0$$

$$p_1 = \partial_r K_1^1 + K_1^\lambda \Gamma_{\lambda\mu}^\mu - K_\lambda^\mu \Gamma_{1\mu}^\lambda = -\frac{1}{2} \frac{1}{c_5 r} \Phi_0 \dot{\alpha}(\tau)$$

Evolution equation  $\rightarrow$  perturbing energy density and momentum density in  $r$  direction

$$\ddot{\alpha}(\tau) \Phi_0 \text{diag} \left( 1, \frac{1}{B^2}, 0, 0 \right) = -\sigma \frac{c_5^2}{c^2} \frac{16\pi G}{c^2} \left[ S_{\mu\nu} - \frac{1}{2} \gamma_{\mu\nu} S \right]$$

$$S_{00} = S_{11} = \left( -\sigma \frac{c_5^2}{c^2} \frac{16\pi G}{c^2} \right)^{-1} \Phi_0 \ddot{\alpha}(\tau) \quad S_{22} = S_{33} = S = 0$$

*Thank You  
For Your  
Patience*

Slides and preprints: <http://cs.hac.ac.il/staff/martin>